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Estrogenic Steroid Hormones in Lung Cancer

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Abstract

It is becoming increasingly clear that steroid hormones are involved in the biology of many organs outside the reproductive system. Evidence has been accumulating since the mid-1990's that the lung contains receptors for both estrogen and progesterone and that these hormones have some role in lung development, pulmonary inflammation, and lung cancer. The estrogen receptor β (ER β) is the major ER expressed in lung tissues, while inflammatory cells capable of infiltrating the lung are reported to express both ER α and ER β . Although there is evidence in animals of preferential effects of ER β in the lungs of females, human lung tumors from males also contain ER β positive cells and express aromatase, the enzyme that converts testosterone to estrogens. This review will discuss current literature findings on the role of the ERs and the progesterone receptor (PR) as well CYP19 (aromatase), the rate-limiting enzyme in the synthesis of estrogen, in lung cancer.

ESTROGEN RECEPTORS IN THE DEVELOPING LUNG AND IN LUNG CANCER

Estrogen receptors (ERs) are members of the nuclear steroid receptor superfamily, and mediate cellular responses to the hormone estrogen. ERs function either as estrogen-dependent transcription factors, or as phosphorylation-dependent transcription factors that are activated by kinase pathways not requiring ligand binding¹. Two different genes encode the ER proteins ER α and ER β , which are expressed with different tissue distributions². Both ER subtypes bind β -estradiol, the most active form of estrogen, with high affinity. Multiple isoforms of ER α and ER β exist including at least three ER α isoforms³ and five ER β isoforms^{4,5}. ER β is thought to be the major functional form of ER in the lung based on two lines of evidence. First, differential expression of ER β mRNA compared to ER α mRNA was found in human lung tissue during fetal development⁶ and in the adult mouse lung⁷. Second, female ER β knockout ($-/-$) mice display a lung abnormality: at three months of

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age, they display a decreased number of alveoli and reduction in expression of key regulators of surfactant homeostasis⁷. By age five months, both female and male mice show alveolar collapse and alterations in extracellular matrix⁸, suggesting that estrogen does have some role in lung homeostasis in males as well as females. In the ER β $-/-$ mouse, female but not male offspring were protected against development of lung tumors after *in utero* exposure to the polycyclic hydrocarbon dibenzochrysene⁹. Confirmation that the lung is an estrogen responsive tissue was observed in the transgenic ERE-luciferase reporter mouse, where a 15-fold induction of reporter gene expression occurred in the lungs of both males¹⁰ and females¹¹ after estrogen treatment.

Antibodies that distinguish between ER α and ER β proteins are now well established, and it is apparent that full-length ER β protein is expressed in most human NSCLC cell lines, and is frequently present in primary specimens of human NSCLCs from men as well as women¹²⁻¹⁶. ER β protein is detected in both the nucleus and the cytoplasm and is comprised of mainly full-length protein in addition to some smaller variants¹². However, the frequency and function of the different ER β isoforms in lung cancer is not well understood because most studies have not undertaken a comparison of the five known ER β isoforms which are the result of alternative splicing of the last coding exon¹⁷. ER β -1 is the full-length ER β protein and the only fully functional isoform which can bind ligand. ER β -2 has been reported to function as a dominant negative of ER α ¹⁸ whereas isoforms -3,-4 and -5 do not have innate activities but can heterodimerize with ER β -1⁴. Whether there is lung tumor expression of full-length ER α is controversial. ER α staining of lung tumor tissues and cell lines was found primarily in the cytoplasm and on the cell membrane, with rare expression in the nucleus¹²⁻¹⁶, and both mRNA and protein analysis showed ER α messages to be comprised of alternatively spliced variants¹². These variant isoforms lack the amino-terminus because the proteins are differentially detected by antibodies that recognize the ER α amino- and carboxy-terminal¹². Immunoblotting failed to detect the expected 66kD ER α protein, while smaller variants of 42kD and 54kD were found^{19,20}. Estrogen-mediated RNA transcription, non-genomic signaling that activates tyrosine kinases, and proliferation in lung tumor cell lines can be blocked by the ER inhibitor fulvestrant, providing evidence that ERs found in lung cancer are functional^{12,19,20}. Comparisons of ER α and ER β selective agonists show that biological effects are predominantly mediated by ER β ²⁰. Although ER α protein may be found in some lung tumors, such as those with EGFR mutation²¹, ER β appears to be the major ER expressed in lung cancer.

ERs and LUNG CANCER SURVIVAL

There are now many published reports examining ER status in relation to NSCLC patient survival. Recently, high cytoplasmic ER β -1 staining was identified as a negative prognostic factor for lung cancer, independent of other prognostic factors²². Nuclear ER β positivity was observed in the majority of lung cancer cases^{13-16,22} and found to be a favourable prognostic indicator in some studies. In some reports, the prognostic significance was only observed in male patients or was limited to a subset of patients with a particular mutation¹⁴⁻¹⁶. However, most studies utilized antibodies to total ER β that could not distinguish different ER β isoforms. The negative effect of ER β -1 on survival was observed in male and female patients and showed no interaction with sex. Prognostic significance of

cytoplasmic ER protein may be related to the importance of non-genomic signaling for ER action in the lung (discussed below). Isoform specificity was also reported in a study demonstrating that ER β -1 but, not ER β -2, was related to worse prognosis in female stage I lung cancer patients²³. Nuclear ER β -1 also correlated with poor survival in metastatic lung cancer, but not early stage lung cancer patients²⁴. In contrast, the ER β -2 and -5 isoforms have been linked to better lung cancer outcome²⁵. ER β survival studies are summarized in Table I.

There is no consensus on effect on survival of expression of ER α protein, which as noted above is predominantly found as smaller variant proteins. It is variously reported that ER α has no effect on survival, or to correlate with poor prognosis^{15,16,22}. Nuclear and cytoplasmic ERs may have distinct functions and each component should be assessed both separately and together in lung cancer patient tissue specimens. A growing literature also shows that ERs localize to mitochondria and that estrogen can induce expression of the mitochondrial genome as well as increase vulnerability to oxidative stressors such as hydrogen peroxide. There are recent reports of mitochondrial action of ER β in lung cancer cells where it appears to protect against apoptosis²⁶ and to show reduced activity during allergic airway inflammation in a mouse model of asthma²⁷. Analysis of the different ER β isoforms as well as their cellular localization will be necessary to completely understand the role of ER β in lung cancer. If standardized approaches can be developed, these hormone receptor markers may become useful biomarkers, potentially able to predict the aggressiveness of lung cancers and to identify patients who might respond to hormonal therapy.

Women with advanced NSCLC live longer than men²⁸, although this observation is not specific to lung cancer' but is found in many tumor types. How much of this survival differences might be attributed to hormonal differences is not clear. A study of lung cancer presentation in pre- versus post-menopausal women showed more advanced disease including poorly differentiated tumors with less favourable histologies in pre-menopausal women²⁹. Despite this, a significant survival difference between pre-and post-menopausal women was not seen. In a more recent study, women over the age of 60 had a significant survival advantage over both men and younger women, a difference potentially attributable to hormonal status since men did not show survival differences by age³⁰.

HORMONE REPLACEMENT AND LUNG CANCER SURVIVAL

Exposure to hormone replacement therapy (HRT) has negative effects on lung cancer survival. Ganti et al.³¹ reported that a significant association between both a lower median age at lung cancer diagnosis and a shorter median survival time in women who used HRT around the time of diagnosis versus those who did not. This effect was more apparent in women who smoked, suggesting an interaction between estrogens and tobacco carcinogens. The Women's Health Initiative, a randomized, placebo-controlled trial in which more than 16,000 post-menopausal women received placebo or daily HRT for 5 years, also reported a strong negative effect on survival after a lung cancer diagnosis in women on the HRT arm³². The HRT group had a significantly greater likelihood of dying from lung cancer with a trend toward more lung cancer diagnoses compared to the placebo group. An increase in lung

cancer incidence associated with HRT was also observed in the Vitamins and Lifestyle Study, and this effect on lung cancer risk was duration dependent³³. However, other reports suggest that HRT use prior to diagnosis could protect women from developing lung cancer, especially if they smoked³⁴. An inverse relationship was also observed between HRT use and NSCLC risk in postmenopausal women with ER-positive, but not ER-negative lung tumors³⁵. There are several possible explanations for these differing observations. There could be different effects on the balance between induction of cell differentiation and cell proliferation by estrogen in normal lung epithelium compared to malignant epithelium. ER β is over-expressed in lung tumors compared to matched normal lung tissues²², which could lead to abnormal responses to estrogen. The immune system is also regulated by estrogen, and the ability of the immune system to reject malignant lung tissues early in the cancer process could be enhanced by HRT. Lung tumors are also known to produce aromatase (see below); thus it is possible that exogenous hormone use reduces local estrogen production by inhibiting pulmonary aromatase expression. Exact HRT used, duration of use and timing of use may modulate the effects of HRT on lung cancer risk prior to diagnosis and survival of lung cancer patients after diagnosis. Since it is now recommended that HRT use be of limited duration in post-menopausal women, due to hazards of long-term use, HRT effects on lung cancer risk or outcome may be less pronounced in the future.

A role for estrogen in lung cancer presentation is supported by several retrospective population studies demonstrating that anti-estrogen use improves survival of female lung cancer patients. An observational study which included more than 6500 breast cancer survivors found that women who received any anti-estrogen treatment had significantly lower subsequent lung cancer mortality³⁶. The Manitoba Cancer Registry also evaluated 2320 women with or without exposure to anti-estrogens³⁷. Anti-estrogen used both before and after lung cancer diagnosis was significantly associated with decreased mortality. Published studies on HRT and anti-estrogen use support the idea of estrogen acting as a promoter of lung cancer aggressiveness that may play a key role not only in the biology but also the outcome of lung cancer.

A body of preclinical evidence now demonstrates that estrogen is a driver of lung cancer. Estrogens induce cell proliferation of NSCLC cells in cell culture^{12,38}, human tumor xenografts¹², and in animal models of lung cancer³⁹. Estrogen can also modulate expression of genes in NSCLC cell lines that are important for inducing cell proliferation such as c-myc and cyclin D1¹⁹. Estrogen signaling through ERE and AP-1 promoter elements was shown to occur primarily through ER β in NSCLC cells^{20,40}. In addition, fulvestrant, a pure ER antagonist, inhibits proliferation in NSCLC cell lines and in lung tumor xenograft models in immunocompromised mice¹². This preclinical evidence combined with population data showing that HRT reduces lung cancer survival strongly support targeting the estrogen pathway therapeutically.

AROMATASE IN LUNG CANCER

CYP19, otherwise known as aromatase, a member of the cytochrome P450 family, catalyzes the conversion of androstenedione and testosterone to estrone and β -estradiol, respectively, and both CYP19 mRNA and protein have been detected in the lung^{41,42}. High β -estradiol

levels were detected by mass spectroscopy in intra-tumoral extracts of primary NSCLC⁴³. Several studies have now shown the ability of lung cancer cells to synthesize their own estrogen. Aromatase protein was expressed in NSCLC cell lines and primary lung tumor tissues and aromatase positive NSCLC cell lines were shown to produce β -estradiol⁴⁴. In patient specimens of lung cancer, aromatase positivity detected by immunohistochemistry found aromatase protein localized in both the epithelial cell component of lung tumors as well as in infiltrating macrophages (Figure 1), suggesting that release of estrogen might occur locally in the tumor microenvironment. A large decrease in growth of human NSCLC tumor xenografts treated with the aromatase inhibitor anastrozole has been observed⁴⁴.

Anastrozole was also demonstrated to prevent lung carcinogenesis in female mice exposed to a nitrosamine carcinogen found in tobacco, and this prevention effect was increased when combined with fulvestrant⁴⁵. Interestingly, in this animal model of lung cancer prevention, aromatase expression was confined almost exclusively to inflammatory cells that had infiltrated preneoplastic and neoplastic areas of the lungs, whereas the abnormal epithelial cells were mostly negative⁴⁵. Thus, an important source of estrogen synthesis may be inflammatory cells that infiltrate the lungs in response to carcinogens, beginning early in the carcinogenesis process. This local production of estrogen may be part of the chronic inflammatory reaction occurring in lung tumors. Use of an aromatase inhibitor to treat lung cancer is further supported by Coombes and colleagues which reported a lower incidence of primary lung cancer in breast cancer patients treated with exemestane after 2 to 3 years of tamoxifen therapy (4 cases) compared with continued tamoxifen treatment (12 cases)⁴⁶.

Mah et al.⁴⁷ found aromatase to be a predictive biomarker of lung cancer survival in early stage lung cancer. Women over age 65 with lower levels of aromatase in tumor tissue had a greater chance of survival compared to those with higher aromatase expression. The prognostic value of aromatase expression was greatest in Stage I and II lung cancer patients. In this post-menopausal population of patients whose circulating estrogen levels are low due to decreased production by the ovaries, local estrogen production through tumor expression of aromatase could be the determinant of estrogen levels. However, no general association between aromatase and lung cancer survival was observed in a separate cohort that included all stages of lung cancer unless combined with other markers such as ER β , EGFR and PR expression²². No effect of sex or menopausal status was found for aromatase in this study, but the study did not focus on older post-menopausal women. Taken together, literature observations about aromatase in NSCLC strongly suggest the use of aromatase inhibitors, already in use for breast cancer treatment, to treat or possibly prevent lung cancer. Since aromatase inhibitors are contraindicated in premenopausal women and in men, this approach would be targeted to post-menopausal women whose lung tumors are aromatase positive, and may give clinicians a biomarker to predict survival of post-menopausal women at an early stage of disease where more treatment options are available. A phase I clinical trial is underway that will evaluate side effects and best dose of the irreversible steroidal aromatase inhibitor exemestane in combination with chemotherapy for late stage lung cancer therapy (NCT01664754). Other enzymes involved in intratumoral production and metabolism of estrogens are also under investigation as potential targets for lung cancer therapy⁴⁸.

NON-GENOMIC ESTROGEN SIGNALING AND INTERACTIONS WITH GROWTH FACTOR RECEPTOR SIGNALING PATHWAYS

Although most breast cancer studies focus on nuclear actions of ERs, involving changes in gene transcription that take place over several hours or longer through direct binding of ERs to promoter elements of estrogen response genes, estrogen can also rapidly activate cytoplasmic kinase signaling in seconds to minutes. This rapid signaling is termed non-genomic and occurs via non-nuclear ERs located in the membrane or the cytoplasm. In breast cancer cells, an additional membrane ER was identified as a G protein-coupled receptor called GPR30⁴⁹. Expression of GPR30 has recently been demonstrated in lung cancer cells but the function and regulation of GPR30 in the lung is still unknown⁵⁰. In NSCLC cells, extra nuclear ERs besides GPR30 have been identified in plasma membrane fractions and cytoplasmic fractions and treatment with estrogen or ER β -specific ligands has been shown to promote rapid stimulation of tyrosine kinase signaling pathways^{20,38}. These effects can be inhibited by the addition of fulvestrant.

The mechanism of non-genomic ER signaling is through activation of tyrosine kinase growth factor pathways, such as the epidermal growth factor receptor (EGFR/HER-1) and the insulin-like growth factor 1 (IGF-1R). EGFR is a member of the tyrosine kinase receptor family that also includes HER-2, HER-3 and HER-4⁵¹, and many lung tumors are highly dependent on these pathways for proliferation, cell motility, angiogenesis, cell survival, and differentiation⁵². Over-expression of EGFR correlates with poor prognosis in NSCLC patients⁵³. An interaction between the ER and EGFR has been demonstrated in lung cancer cells^{40,54}; estrogen can activate the EGFR in lung cancer cell lines within 5-10 minutes through release of EGFR ligands, and the combination of the anti-estrogen fulvestrant and an EGFR tyrosine kinase inhibitor such as gefitinib or erlotinib can maximally inhibit cell proliferation, induce apoptosis and reduce downstream signaling pathways both *in vitro* and *in vivo*^{40,55}. Erlotinib, the EGFR inhibitor that is FDA-approved for NSCLC also gave the best anti-tumor effects in NSCLC tumor xenografts when combined with fulvestrant⁵⁵. The multi-targeted tyrosine kinase inhibitor, vandetanib, which targets EGFR and VEGFR, also showed additive effects when combined with fulvestrant⁵⁶. A synergistic effect of gefitinib combined with the reversible non-steroidal aromatase inhibitor, anastrozole, was also observed in lung cancer cell lines, further suggesting a functional interaction between EGFR and ER pathways⁵⁷. Additionally, membrane ERs were co-localized with EGFR in lung tumors³⁹. Ligand-independent signaling may also occur through activation of ERs by tyrosine kinase receptors such as EGFR. For example, EGFR can directly phosphorylate ER at specific serine residues⁵⁸. These residues were found to be phosphorylated in 87.5% of ER positive lung tumors examined⁵⁴.

Reciprocal control of expression was also observed between ER and EGFR in lung cancer cells. In NSCLC cells, EGFR protein expression was down-regulated in response to estrogen and up-regulated in response to fulvestrant, suggesting that the EGFR pathway is activated when estrogen is depleted⁴⁰. Conversely, ER β protein expression was down-regulated after treatment with EGF and up-regulated following treatment with gefitinib, providing a further rationale to target these pathways together⁴⁰. Similar cross-talk has also been found between

ER signaling and IGF-1R signaling in lung cancer, a pathway that has also been implicated in lung cancer development. Estrogen was demonstrated to up-regulate IGF-1R expression through ER β activation in lung cancer cells and tissues⁵⁹. Both aromatase and ER β expression were positively correlated with IGF-1 and IGF-1R expression in this study⁵⁹, and these pathways acted synergistically to promote the development of lung adenocarcinoma in mice⁶⁰. The combined treatment with fulvestrant and an IGF-1R inhibitor showed maximum anti-tumor effects compared to single agent treatment in this a carcinogen-induced mouse adenocarcinoma model⁶⁰.

Targeting the EGFR using TKIs as a single therapy is of limited use in the absence of an EGFR mutation, which occurs in about 20% of adenocarcinoma patients. The patients who respond well to EGFR TKIs are mainly females and never smokers which may relate to cross-talk in signaling between the EGFR and ER in lung cancer⁶¹. As noted above, some studies have reported a correlation between EGFR mutation and ER expression^{21,62}. These observations were translated in a phase I clinical trial using drugs that target EGFR and ER, that assess the toxicity of combined treatment of gefitinib with fulvestrant⁶³. Targeting both pathways was found to be safe and to have anti-tumor activity in female patients with advanced, pre-treated NSCLC. Additionally, high ER β expression was correlated with better patient survival. A phase II trial examining the combination of erlotinib (the now-preferred EGFR TKI) with fulvestrant compared to erlotinib alone has recently been completed, in which 100 patients were treated⁶⁴. Combination treatment was well tolerated. Progression-free survival and response rate were similar between the two treatment arms in unselected patients. However, among patients with EGFR wild-type tumors, the clinical benefit rate (which included partial responders and those with stable disease) was significantly higher among patients treated with the combination compared to erlotinib alone, with trends towards improved survival. It is yet to be determined what ER-related biomarkers will be informative in defining the patients most likely to benefit. These clinical trials suggest that targeting the ER pathway in conjunction with the EGFR pathway, or other aberrantly expressed tyrosine kinase receptors, will have beneficial antitumor effects in NSCLC as has been observed in breast cancer cells⁶⁵, particularly in patients whose tumors do not contain an EGFR mutation. The combination of anti-estrogen therapy with an IGF-1R inhibitor also warrants clinical investigation.

PROGESTERONE RECEPTORS IN LUNG CANCER

There are two major isoforms of PR, PR-A and PR-B, which play different roles in modulating cellular responses to progesterone. PR is an estrogen response gene, and PR-positive breast cancers are usually more differentiated tumors that respond to anti-estrogen therapy. The ratio of PR-A:PR-B is thought to affect clinical outcome for breast cancer, with high levels of PR-A associating with more differentiation and better survival. There are several reports of expression of total PR by primary NSCLC tissues, although there is a great deal of variability in the reported frequency of expression^{22,66,67,68,69}. Several reports found little or no PR in NSCLC^{16,70,71}, while in another study, lower PR was observed in lung tumors compared to matched normal lung tissue²². Two reports have shown that PR is a strong protective factor for lung cancer^{22,70}. The antibodies used in these lung cancer survival studies do not distinguish between the PR-A and PR-B isoforms, which could exert

different functions. Enzymes capable of synthesizing progesterone were also detected in many NSCLC tumors. A positive correlation was observed between intratumoral levels of progesterone and the presence of three enzymes that participate in progesterone synthesis: steroidogenic acute regulatory protein, P450 side chain cleavage and 3 β -hydroxysteroid dehydrogenase⁷⁰. Progesterone treatment led to growth inhibition of tumor xenografts and along with induction of apoptosis, in agreement with clinical data suggesting presence of PR was correlated with longer overall survival in NSCLC patients⁷⁰. Progesterone has also been shown to inhibit migration and invasion of lung cancer cell lines⁷². In breast cancer, PR is known to signal through ligand-independent mechanisms due to phosphorylation by kinases, leading to degradation of the phosphorylated form by the proteasome⁷³. One mechanism for low tumor PR expression in breast tumors is through increased growth factor signaling which leads to a more aggressive tumor biology with faster progression, while also causing PR phosphorylation and down-modulation⁷⁴. Whether or not this same mechanism of kinase-directed PR phosphorylation occurs in lung tumors is unknown and is currently being investigated (Figure 2).

Progesterone derivatives have been useful in the treatment of both endometrial cancer and breast cancer^{75,76}. Agents such as medroxyprogesterone acetate, which can be given orally, have potential for treatment of lung cancer, perhaps in combination with agents that suppress either the ER pathway or act on growth factor pathways such as EGFR, c-Met, or other TKIs. Whether progesterone could be used for prevention is open to debate since it can also have angiogenic properties.

ESTROGEN RECEPTORS IN OTHER NON-REPRODUCTIVE MALIGNANCIES

Expression of ER has been observed in tumors derived from other non-endocrine target tissues, such as head and neck squamous cell carcinoma (HNSCC). HNSCC tumors express ERs, although with variable results reported in the literature. Exogenous estrogen has been shown to stimulate HNSCC proliferation and invasion *in vitro*⁷⁷ and to increase tumor growth in mice⁷⁸. Similar to lung cancer, estrogen has been shown to induce both genomic (transcriptional responses) as well as non-genomic (rapid P-MAPK signaling) in HNSCC⁷⁷. The main difference between these tumor types is that both ER α and ER β are important in HNSCC whereas ER β is the predominant receptor in lung tumors. Nuclear ER α was increased in HNSCC tumors compared to adjacent normal tissue and high nuclear ER α tumor levels have been linked to poor survival in HNSCC⁷⁷. In contrast, estrogen appears to have protective effects in colorectal cancer, which appear to be mediated through ER β expression⁷⁹. Population studies have shown exposure to HRT protects women against colorectal cancer, the opposite of what has been observed for lung cancer. Estrone is produced in the colon by conversion from β -estradiol by 17- β hydroxysteroid dehydrogenases, and estrone has anti-proliferative effects in the colon. Non-genomic signaling that results in suppression of genes such as c-Myc and cyclins may be involved in these anti-proliferative effects. Rather than being over-expressed (as observed in lung cancer), ER β expression appears to be lost during the carcinogenic process in the colon⁷⁹. These findings demonstrate that the pro- or anti-cancer signaling initiated by ERs have remarkable tissue specificity, which may depend upon interactions with other signaling molecules and receptor co-activators, as well as the extent to which different growth factors

produce phosphorylation of ERs Differences in the effects of ERs in non-endocrine tissues is a fruitful subject for further investigation.

SUMMARY

Research on steroid hormones in lung cancer is likely to benefit both men and women. Lung cancer in both male and female patients is ER and PR positive, as well as often being aromatase positive. Cell lines derived from both sexes are responsive to estrogens, and show responses to therapeutic agents targeting the estrogen pathway. Endocrine-based therapeutic treatments may therefore be beneficial for both men and women. Endocrine therapies also have potential for lung cancer prevention. Possible strategies to target the estrogen signalling pathway for lung cancer are summarized in Figure 3. Published data show protection from tobacco carcinogens in female mice, while similar unpublished preclinical evidence suggests that these hormonal therapies are also effective in male mice (unpublished observations). Local production of estrogens in the lung, either by lung cells or by infiltrating macrophages and other inflammatory cells, may be a significant source of estrogen that could drive the tumor process, independent of reproductive tissues. Estrogen produced as a result of pulmonary inflammation may be an important driver of the pro-tumor consequences of chronic inflammation in the lung. Several clinical trials are underway to test endocrine therapies in combination with either targeted therapy or chemotherapy in advanced lung cancer patients. Positive results would suggest these treatments should also be examined in earlier stages of lung cancer. Greater understanding of the role of endocrine pathways in lung cancer will provide a rationale for future hormone-based therapies earlier in the course of disease and possibly for lung cancer prevention. Additional understanding of the role of non-nuclear versus nuclear ERs as well as PR function in lung cancer will be crucial for exploiting these pathways clinically. Biomarker identification that predicts which lung cancer patients are the best candidates for hormonal therapy will also be needed. Because endocrine therapies are relatively safe and are amenable for long-term treatment, the potential to bring them to clinical use for lung cancer is great.

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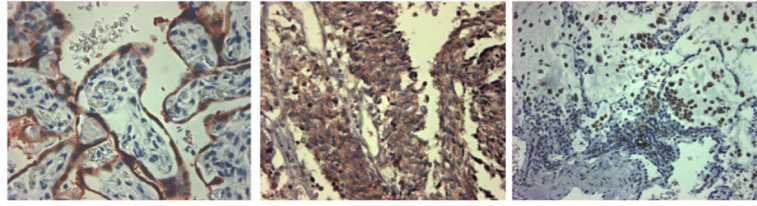


Figure 1.

Detection of aromatase (CYP19) by immunohistochemistry in control human placental tissue (left), in a primary human lung tumor with staining in both the epithelial component and in infiltrating inflammatory cells (center), and in a primary lung tumor with staining only in the inflammatory cell component (right).

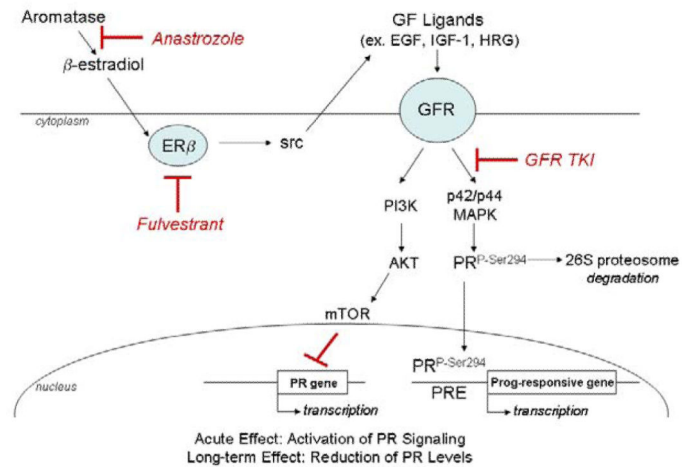


Figure 2. Proposed Model of Ligand-Independent PR Signaling in Lung Cancer

Growth Factor (GF) ligands will induce Growth Factor Receptor (GFR) signaling, resulting in phosphorylation of PR at ser294 by the MAPK pathway, leading to both ligand-independent receptor activation and induction of proteasome-mediated PR degradation, and/or may lead to direct suppression of PR transcription by the Akt/mTor pathway (with a resultant decrease in PR protein expression). Such a pathway might explain why low PR in lung tumors was associated with worse survival, because it is indicative of high levels of GFR signaling.

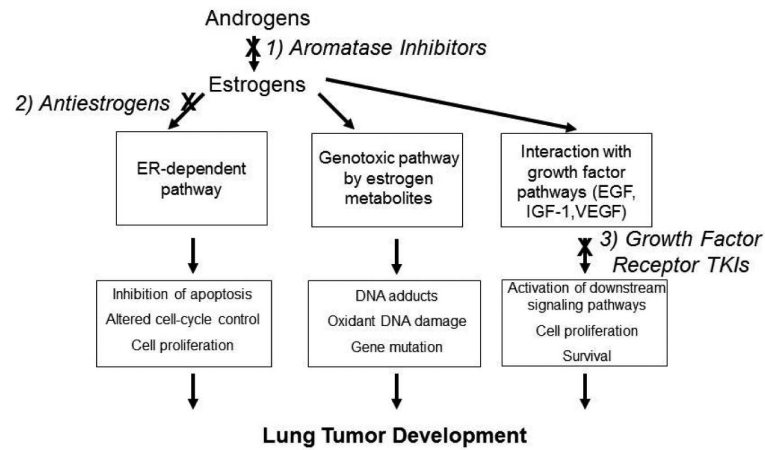


Figure 3.

Available strategies to target the estrogen signaling pathway for lung cancer treatment or prevention. Strategies include: 1) inhibition of estrogen synthesis with aromatase inhibitors; 2) down-regulation of ERs using antiestrogens; 3) targeting growth factor pathways that are activated by estrogens, such as the EGF, IGF-1 and VEGF pathways using growth factor receptor TKIs. These strategies can be used as single agents or in combination.

Table 1

Summary of ER β survival analysis of lung tumor tissue by IHC

Study Name	ER β Ab	Sample Size	Stage Distribution	Scoring System	Result
Wu 2005 (ref. 13)	Biogenix (ER β -1 isoform specific)	Male 174	Stage I 38%	nuclear staining only scored	Positive ER β =lower grade tumor in men and women combined
		Female 127	Stage II 22%	positive = moderate/strong nuclear staining of >50% of tumor cells	ER β overexpression= better survival
		Total 301	stage III 40%	negative = not defined	
				cut-off = positive versus negative	
Schwartz 2005 (ref. 14)	MCA 1974 (ER β -1 isoform specific)	Male 64	Local 21.9%	nuclear and cytoplasmic staining scored together	Positive ER β = better survival in men (significant)
		Female 214	Regional/Distant 64.7%	% positive cells (focal <10%, moderate 11-50%, diffuse 41-100%) + staining intensity (1-3)	Positive ER β = worse survival in women (trend)
		Total 278	Unknown 13.3%	positive = at least +1 (weak) intensity in 10% of tumor cells	
				negative = no nuclear staining, cytoplasmic staining or +1 intensity nuclear staining	
				cut-off = positive versus negative	
Kawai 2005 (ref. 15)	H-150 (epitope-aa 1-150 of ER β)	Male 76	Stage I 50%	not specified if nuclear or cytoplasmic ER β was examined	Positive ER β = better survival in men and women combined
		Female 56	Stage II 18%	% positive score (0-5) + staining intensity (0-3)= total score (0-8)	High ER β = better survival in men and women combined (Stage I only)
		Total 132	Stage III 27%	positive = not defined	
			Stage IV 5%	negative = not defined	
				cut-off = positive versus negative and low (0-4) versus high (5-8)	
Skov 2005 (ref. 16)	PPG5/10 (ER β -1 isoform specific)	Male 71	Stage I 63%	nuclear staining only scored	Negative ER β = better survival in women
		Female 33	Stage II 13%	positive = at least weak nuclear staining in >10% of tumor cells	Positive ER β = better survival in men
		Total 104	Stage III 26%	negative = not defined	no clinical significance with cytoplasmic ER β in separate analysis
				cut-off = positive versus negative	
Nose 2009 (ref. 60)	H-150 (epitope-aa 1-150 of ER β)	Male 260	Stage I 64%	nuclear staining only scored	Strong nuclear ER β =better DFS in all patients

Study Name	ERβ Ab	Sample Size	Stage Distribution	Scoring System	Result
		Female 187	Stage II 9%	% positive score (0-5) + staining intensity (0-3)= total score (0-8)	Strong nuclear ERβ= better DFS in patients with EGFR mutant tumors, but not EGFR wild-type tumors
		Total 447	Stage III 23%	negative = 0	
			Stage IV 4%	weak = 2-4	
				strong = 5-8	
				cut-off = negative/weak versus strong	
Raso 2009 (ref. 67)	H-150 (epitope-aa 1-150 of ERβ)	Male 150	Stage I 63%	nuclear and cytoplasmic staining scored separately	Low nuclear ERβ= better RFS
		Female 167	Stage II 20%	% positive score (0-100%) X staining intensity (0-3)= total score (0-300)	no relationship with OS
		Total 317	Stage III 15%	positive = >0	
			Stage IV 2%	negative =0	
				cut-off = low (0) versus high (>0)	
Stabile 2011 (ref. 20)	PPG5/10 (ERβ-1 isoform specific)	Male 91	Stage I 39%	nuclear and cytoplasmic staining scored separately	High cytoplasmic ERβ=worse OS and shorter TTP
		Female 92	Stage II 20%	% positive score (0-5) + staining intensity (0-3)= total score (0-8)	no relationship with nuclear ERβ
		Total 183	Stage III 28%	low = 0-7	ERβ associated with poor survival was in the strongest staining group only (>7)
			Stage IV 11%	high =>7	Survival effect may be for ERβ-1 overexpressing tumors only
			Unknown 1%	cut-off = low versus high	
Navaratnam 2012 (ref. 22)	GC17/385P (ERβ-1 isoform specific)	Male 70	Stage I-II 64%	nuclear staining only scored	High ERβ-1= better OS in earlier stage
	14C8 (total ERβ)	Female 67	Stage III-IV 36%	nuclear staining intensity (1-3) X % tumor cells stained	High ERβ-1=worse OS in later stage
	57/3 (ERβ-2 isoform specific)	Total 137		cut-off = median IHC score	no relationship with ERβ-2
Liu 2013 (ref. 23)	PPG5/10 (ERβ-1 isoform specific)	Male 58	Stage I-II 36%	nuclear and cytoplasmic staining scored separately	cytoplasmic ERβ-2 and ERβ-5=longer DFS and OS
	57/3 (ERβ-2 isoform specific)	Female 54	Stage III-IV 64%	% positive score (0-5) + staining intensity (0-3)= total score (0-8)	
		Total 112		cut-off = Allred score>3	
DFS, disease-free survival; RFS, recurrence-free survival; PFS, progression-free survival; OS, overall survival; TTP, time to progression					